

Freedom of Information
Liverpool School of Tropical Medicine
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UK
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27th January 2014

Dear Requestor,

Many thanks for your request, which we received on 10th January 2014 regarding research misconduct. Please find below the response to your enquiry.

1. I would like to know how you record instances of research misconduct, both proven cases and allegations.

a) For how many years do you hold this information and in what format.

The School's Code of Practice on Conduct of Research & Policy and Procedures for handling allegations of Research Misconduct sets out procedures. Please find these documents attached. Please also note that PHD students are formally registered with University Of Liverpool. Details of the procedures of University Of Liverpool can be found via the following link http://www.liv.ac.uk/research-integrity/a-z_of_policies/

LSTM has not had any instances of research misconduct to date, but information would be kept in line with HR norms on Data Protection.

b) Do you have a central database where you record this information?

No.

c) Do you keep records at a lower level e.g. within each school or faculty at your institution.

No.

d) If your record keeping falls under neither (b) nor (c) above then I would like to know how you record instances of research misconduct.

To date, no such instance has occurred, so the School does not hold this information.

2. I would like to know what procedure the university follows when there is a breach of your policy or guidelines on research integrity, e.g. there is an instance of research misconduct.

a) In instances where the allegation is proven, what happens with regards to the present and future funding of the relevant research?

Please see the attached documents.

b) In instances where the allegation is proven, what ramifications are there for the guilty party(s).

Please see the attached documents.

c) What procedure is followed where research funds (e.g. through a grant) have been used by a person later found guilty of research misconduct.

Please see the attached documents.

Should you be dissatisfied with our response, please contact us within a reasonable time (within 2 months of this response) to dmlib@liverpool.ac.uk.

If you remain dissatisfied, you may appeal this decision to the Information Commissioner. The contact details for the Information Commissioner are Wycliffe House, Water Lane, Wilmslow, Cheshire SK9 5AF, or via the website www.ico.gov.uk.

Should you require any further information or assistance in this matter, please don't hesitate to contact me at the above address.

Many Thanks,

Dan Roche

Freedom of Information Compliance Officer

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